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FEB 19 2002

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

Trop, Pruner, & Hu, P.C.

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FLING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,283	12/31/2001	Douglas P. Brown	10158 (NCRC-0068-IJS)

RECEIVED

FEB 8 2002

John D. Cowart
NCR Corporation
Law Department IP WHQ
1700 S. Patterson Blvd.
Dayton, OH 45479

LAW DEPARTMENT

CONFIRMATION NO. 1213

FORMALITIES LETTER



0C000000007401984

Date Mailed: 01/31/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$282.
 - \$198 for 11 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The signature of the following inventor(s) is missing from the oath or declaration:
Mark W. Sirek, Jeetendra Chaware
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1152.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/18/2002 BNGUYEN1 00000050 501673 10039283

01 FC:101	740.00 CH
02 FC:103	198.00 CH
03 FC:102	84.00 CH
04 FC:105	130.00 CH

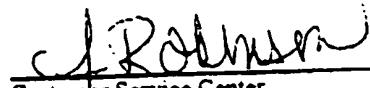
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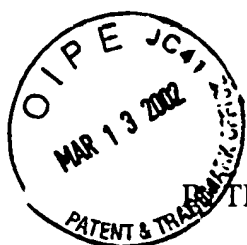
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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#3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Douglas P. Brown et al.

Serial No.: 10/039,283

Filed: December 31, 2001

For: EMULATING A DATABASE
SYSTEM

§ Group Art Unit:

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Examiner:

Atty. Dkt. No.: 10158 (NCR.0068P2US)

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Box Missing Parts
Commissioner for Patents
Washington DC 20231

**RESPONSE TO "NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION"**

Dear Sir:

A copy of the "Notice to File Missing Parts of Nonprovisional Application" mailed for this application on January 31, 2002, is attached.

In response to the requirements of the Notice, applicant submits herewith the statutory basic filing fee \$1,022.00, executed Declarations and Power of Attorney for Patent Application, and the late filing fee of \$130.00, for the above-referenced application. Please apply any charges or credits to Deposit Account 50-1673 (10158). A duplicate of this paper is attached.

Respectfully submitted,

Date: _____

3-4-02

Dan C. Hu
Registration No. 40,025
TROP, PRUNER & HU, P.C.
8554 Katy Freeway, Suite 100
Houston, Texas 77024
(713) 468-8880 [Phone]
(713) 468-8883 [Fax]

Date of Deposit: March 4, 2002
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Commissioner for Patents, Washington DC 20231.

Dawn L. Thomas